

Go to: <https://www.eligibilitytrackingcalculators.com/broker-platforms/>





## ***BROKER SERVICES & SUPPORT***

### **AVAILABLE SERVICES**

Day-to-Day HR & Compliance On Call Support  
CE Presentations for Agency  
\*ERISA WRAP Education & Preparation  
(\*includes full outsourced options)  
Section 125 \* Non Discrimination Testing Services  
Benefits Strategy w/ Licensed L&H Professionals  
Customizable Communications  
Required Benefits Notices  
Broker Benefits Compliance Toolkit - Monthly  
ACA & CAA Services  
ERISA guidance  
ACA Tracking, Reporting & Delivery  
HR Direct Client Support  
Agency Team Training & Support  
Exclusive Broker Client Tools & Services  
RxDC Reporting, PCORI & Gag Clause Services  
Access to Compliance Resources & Subscriptions  
(EBIA, SHRM, BLR, etc)

*Helping agencies retain  
and gain clients through  
compliance!*



### ***About ETC***

Since 2012, the ETC Broker Services team has added value to agencies with practical hands-on guidance for employer compliance. Through understanding and discovery, the ETC team provides practical things to consider as employers are navigating the complex employee benefits landscape. Outsource the compliance to ensure your client's needs are kept top of mind.

LEARN HOW ETC CAN **REDUCE YOUR CLIENTS RISK & LIABILITIES** WHILE IMPROVING THE  
CLIENT EXPERIENCE!

**CONTACT ETC TODAY!**

*\*Service platform options vary based on agency needs and size*



# Broker Services Compliance Team



**Alicia Haff, JD**

Founder & Partner at The  
Law Offices Of Haff  
Raggio PLLC



**Heather Garcia**

Founder & CEO



**Cali Beeman, PHR**

Director of Broker  
Services & Partner



**Elizabeth Raggio, JD**

Director of  
Compliance & Partner  
at The Law Offices of  
Haff Raggio PLLC



**Maricia Meyer**

Director of ETC HR  
Services & Partner



*ETC's purpose: support clients that **care about compliance** and **build trust**.*



## ACA Tracking & Reporting Services

ACA professionals are assisting clients with full consultation. The ETC services range from full client indemnity for monthly eligibility to 1095 form preparation & IRS reporting only. The right product is available based on client needs.



## HR Consulting & Support

HR compliance professionals partner with employers to provide on-call support, stand-alone fee-based services, and HR project work. The ETC team also works with compliance documents such as ERISA wrap, Section 125, and Non Discrimination testing.



## Benefits Compliance & Broker Services

Licensed Life/Health Consultants assist with day-to-day benefits compliance, best practices, and overall insurance strategy. The ETC Broker Services focus remains on supporting the Brokers ability to retain and gain clients through compliance.



## Regulatory Assistance & Penalty Disputes

Sister company, Haff & Raggio PLLC, independently owned by Attorneys, support clients with various ACA penalty strategies & IRS resolution. Haff & Raggio's focus remains on ACA defense.

# ETC BROKER PARTNER TOOLS

COMPLIANCE | CONVENIENCE | STRATEGY

## Standard ETC Broker Partner Support Platform Baselines. Talk to your ETC team for customization

Broker Compliance Platform: A flat-fee monthly retainer granting brokers and agencies access to ACA and HR experts from ETC, with services available at no charge or a reduced rate, depending on the offering. Put compliance to work for you.	<b>Level 1</b> <b>\$500 Monthly Fee</b>	<b>Level 2</b> <b>\$750 Monthly Fee</b>	<b>Level 3</b> <b>\$1,000 Monthly Fee</b>	<b>Level 4</b> <b>Custom \$\$</b>
Managed Phone/Email Compliance, Strategy, and Best Practices Support for Insurance Teams. Access the ETC Team at brokerpartner@etctracking.com for general benefits, HR compliance inquiries, and Broker Tools distribution.	Up to 3 Producer Agents & Service Teams	Up to 5 Producer Agents & Service Teams	Up to 7 Producer Agents & Service Teams	Unlimited
Monthly Broker Tools & Communications: legislative updates, benefits strategies, deadlines, reminders, guidance, and tools.	Included			
Access to ETC HR Secured Portal and Forms & Templates Library (Broker Access Level to MINERAL HR platform)	Included			
Annual Agency HIPAA/Hitech Webinar Training (60 minutes) focused on Business Associates and Broker HIPAA HiTech obligations and compliance	Included			
Annual Compliance Calendar, Calculators & Toolkits (updates provided throughout year as changes occur)	Included			
Discounted ACA Tracking and Reporting Services (various levels)	Included			
Pharmacy Data Collection (RxDC) Consulting Services (NEW)	Broker Team Training Included			
Agency HR Support & Employer Compliance Service Offerings (various levels)	Discounted Rates			
Discounted Employment Law Poster (employer client orders)	Included			
DOL EBSA Audit Support (Discounted if more than allowance)	1 per yr	1 per yr	2 per yr	2 per yr
ERISA Wrap Documents (Ask about ERISA wrap management)	Reduced Pricing - 20% off of Retail			
Up to 2 Hours Agency Annual Compliance Training for Team and Clients	Included			
Invitation to ETC Exclusive Webinars and Events	Included			
PRINT SERVICES: <b>Open Enrollment &amp; Employee Materials</b> - Booklets up to 50 pages.	Pricing quoted per job, turnaround time, and delivery location			
Agency <b>dedicated</b> Customized & Branded Compliance <b>Email Address</b> for Team & Clients for direct client compliance HR & Benefits Inquiries	N/A	N/A	N/A	Included
Provide Required Annual Benefits Notices	WORD document format for customization			
Regular ACA & HR Legal and Compliance updates, E-Blasts Weekly	Included			
PEO Financial Analysis Support & Extractions	Per Occurrence	Per Occurrence	Per Occurrence	Included
Legal Representation through Law Offices of Alicia J Haff, JD - 226J (Discounted 25% to \$300/hr.-Retail \$400/hr.)	Included			
Compliance & HR Sales Support - New Prospective Client Analysis	Up to 5 calls direct w/ clients per calendar year	Up to 6 calls direct w/ clients per calendar year	Up to 10 calls direct w/ clients per calendar year	Unlimited
Weekly E-blast (ERISA and HR and case law) - Permission to rebrand to clients	Included			
Discounted HR Direct Support Available	Included			
Educational Data from EBIA Reference Library, BLR Comprehensive Business Solutions, and other ETC Subscription Resources	Included			
Agency Preferred pricing for Other Services	Reduced Set-Up Fees & Discounts			
<b>Direct</b> access to other Professionals & Vendors - Preferred pricing	Included			
Customizable HIPAA/Hitech Agency Security and Privacy Manuals & Resources	Reduced Pricing, available upon request			

\*\*Travel to outside client sites or agency location invoiced at IRS mileage rate.