



Broker Partner Platform Information



ETC BROKER PARTNER TOOLS

COMPLIANCE | CONVENIENCE | STRATEGY

SERVICES

Day-to-Day HR & Compliance Support
ERISA WRAP & Section 125 Management
Benefits Strategy Guidance
Customizable Communications
Required Benefits Notices
Broker Benefits Compliance Toolkit
ACA, CAA, ERISA Compliance Support
ACA Tracking, Reporting & Delivery
HR Direct Client Support
Agency Team Training & Support
Exclusive Broker Client Tools & Services
RxDC Reporting & PCORI Services
Access to Compliance Resources &
Subscriptions (EBIA, SHRM, BLR, etc)
Monthly Broker Compliance Newsletter

**Service platform options vary
based on agency needs and size*

*Helping agencies retain
and gain clients through
compliance!*



About Us:

LEARN HOW WE CAN **REDUCE** YOUR
AGENCY AND YOUR CLIENTS
LIABILITIES WHILE **IMPROVING**
CLIENT AND EMPLOYEE EXPERIENCE!

CONTACT US TODAY!

Since 2012, our Broker Services team; comprised of Attorneys, Life & Health Licensed, and HR Certified professionals, have added value to our clients with practical, hands-on, guidance of employer compliance applications and reporting.



4 Pillars to Elevate Your Business



*ETC's purpose is to support clients that
care about compliance and build trust.*



ACA Tracking & Reporting Services

Our ACA professionals are dedicated to assisting clients with full consultation including client indemnity for eligibility to 1094/1095 form preparation, delivery, and IRS reporting. We do all the work!



HR Consulting & Support

Our HR compliance professionals partner with employers to provide on-call support, stand-alone fee-based services, and HR project work. Let our ETC HR team build a custom plan that works for your team and your company's needs.



Benefits Compliance & Broker Services

Our licensed Health & Welfare Consultants assist with day-to-day benefits compliance, administrative best practices, and overall insurance strategy. Specializing in ERISA, ACA, and CAA, we focus on supporting Brokers ability to retain and gain clients through compliance.



Regulatory Assistance & Penalty Disputes

Our sister company, independently owned by Attorneys, support clients with standalone governmental compliance issues, ACA penalty resolution, and employer defense practices and communications.



Broker Services Compliance Team



Alicia Haff, JD

Founder & Partner at The
Law Offices Of Haff
Raggio PLLC



Heather Garcia

Founder & CEO



Cali Beeman, PHR

Director of Broker
Services & Partner



Elizabeth Raggio, JD

Director of
Compliance & Partner
at The Law Offices of
Haff Raggio PLLC



Maricia Meyer

Director of ETC HR
Services

BROKERPARTNER@ETCTRACKING.COM





ETC Broker Services
theETCcompanies.com
210.323.7846
Brokerpartner@etctracking.com

Broker Partner Service Platform Levels
2024

List of Services	Broker Access Level	Broker Basic Level	Client Strategy Level	Custom Level
Access to Benefits & <i>general</i> HR Support HELP email: brokerpartner@etctracking.com - day-to-day inquiries answered by Broker Services Team members	Limited to 1 Agency contact	Included (up to 5 Agency Staff)	Included <i>Unlimited</i>	Included <i>Unlimited</i>
Monthly Broker Tools & Communications Newsletter Distribution - legislative updates, benefits strategies, upcoming deadlines, reminders, guidance, and tools	Included	Included	Included	Included
Agency dedicated, Customized & Branded Compliance Email Address for Team and Client HR and Benefits inquiries monitored by ETC				Included
Human Resources Technology Platform Agency Access (ETC HR - MINERAL)		Included	Included	Included
Broker Onboarding Materials		Included	Included	Included
Annual Compliance Toolkit, Checklist, Calculators (provided annually and upon significant changes)		Included	Included	Included
Client Deliverable Compliance Package - Compliance Reference Guide, Annual Organizer, and Checklist		Included	Included	Included
Client & Prospect Sales Direct Support - Client facing and inclusion in Prospect Meetings or Discussions regarding compliance, best practices, and guidance		Up to 5 calls direct with prospects and/or current clients per calendar year	Up to 10 calls direct with prospects and/or current clients per calendar year	Unlimited
Discounted ACA Tracking & Reporting Services (various levels)	Included	Included	Included	Included
Provide Required Federal Benefit Notices & Templates (provided annually and upon changes) - customizeable and in Word Doc format	Included	Included	Included	Included
Access to Broker Partner Exclusive Client Direct Services - Pharmacy Data Collection (RxDC) P2/D1 submissions & PCORI Consulting Services	\$500 RxDC Reporting / \$250 PCORI Services (Fee for services can be invoiced to Agency or Client)			
Invitation to ETC Exclusive Webinars & Events	Included	Included	Included	Included
Up to 2 Hours Agency Annual Compliance Training for Team and Clients - various relevant topics delivered in person onsite** or virtual	Included	Included	Included	Included
ERISA Wrap & Section 125 POP Document Services	Reduced Pricing - 20% off of Retail (ETC Wrap Management for all clients available for custom pricing)			
Informational, Educational, and Templates direct from ETC Subscriptions Resources : ie. EBIA Reference Library, SHRM, & BLR Comprehensive Business Solutions		Included	Included	Included
Human Resources Client Direct Support: Projects, Investigations, Policies, Hands-on Administration, & Audits	Discounted customized pricing per client			
Direct Access to other ETC Preferred Professionals & Vendors - Referral Partners	Included	Included	Included	Included
Agency Employee Handbook Review, Updates, & Labor Law Posters Delivered			Included	Included
The HR WIRE Newsletter : Employer Compliance Monitoring, Human Resources Topics, Legislative and State Law updates		Included	Included	Included
Annual Broker Agency HIPAA/HiTech Webinar Training (60 minutes) - focus on Business Associates and Broker specific obligations and compliance	Included	Included	Included	Included
DOL EBSA Audit Support		One free per Year Discounted Fee thereafter	One free per Year Discounted thereafter	Two free per Year Discounted thereafter
ACA IRS Penalty Disputes - Legal Representation through Law Office of Alicia J Haff, JD	Discounted 25% to \$300/hr - Retail \$400/hr			
MONTHLY RETAINER COST	\$350	\$550	\$750-\$1000	\$1500+

**Travel costs may apply to locations outside of 50 mile radius of San Antonio



2024 ETC COMPLIANCE SERVICES
"A la Carte"

ACA Reporting Services*		
FULL SERVICE – Includes raw source data collection from carrier and/or client payroll files, coding, generating forms, and e-filing.		
	Small Employer 1095-B Reporting and/or Electronic Filing Services	\$750+
	Large Employer 1095-C Reporting and Electronic Filing Services	\$1500+
SELF SERVICE – Client provides data and codes in template provided for e-filing services only – <i>no indemnification or consulting services included</i>		
	Small Employer 1095-B Template and IRS e-file services	\$500 per EIN
	Large Employer 1095-C Template provided and IRS e-file services	\$500 per EIN
ACA IRS PENALTY DISPUTE SUPPORT & LATE ACA FILING AND/OR CORRECTIONS		
	Legal Representation through Law Office of Alicia J Haff, JD (Haff & Raggio PLLC)	\$2500 - \$5000

***Pricing does not include one-time set up fees, print and mailing costs. Please contact ETC for client proposal.**

Exclusive to Broker Partners subscribing to Broker Services Platform*

Compliance Documents & Services		
	ERISA Wrap and Summary Plan Description (Includes electronic copies of completed materials)	\$540
	ERISA Wrap and Summary Plan Description – Amended & Restated Documents	\$200
	Section 125/POP Plan Document (initial and amendment cost)	\$180
	Non-Discrimination Testing /Non-Discrimination Testing w Results Consult (Scaled based on participants) *	\$500-\$1,000
	Gag Clause Attestation Submissions	\$200 Fee
	RxDC - Pharmacy Data Collection Reporting (Limited to P2 & D1 Files or carrier survey assistance)	\$500 Flat or \$150 Hour
	Form 5500 Reporting & Summary Annual Report (plans with 100+ participants)	\$500
	ACA Consulting Services (Payroll/HRIS Set Up, Q&A, Evaluation, & Policy and Compliance Guidance)	\$300 / hour
	PCORI Support (self and level funded plans – including HRAs)	\$250 Fee
	ERISA & Federal Required Benefit Notices (CHIP, HIPAA, Medicare Part D, No Surprise Billing, etc)	Included – no charge
	Employer Annual Compliance Checklist, and Calendar Reference Guide	Included – no charge
	ACA Affordability Safe Harbor Calculation Tool and Rate of Pay Table	Included – no charge
Communications & Resources		
	Monthly Broker Communication (Hot topics, upcoming deadlines, and tools)	Included – no charge
	ETC HR WIRE Email Communication (Federal & State HR Centric Regulatory Publication)	Included – no charge
	RESOURCES ACCESS: SHRM, EBIA Reference Library, BLR, HR Mineral, Agency Direct Links	

***Broker subscriptions range from \$350 - \$1500 per month depending on agency size and level selected – see separate pricing model with services included for each option level.**

For more information, contact info@etctracking.com or brokerpartner@etctracking.com

BENEFIT-CENTRIC COMPLIANCE BUINDLE

ERISA Wrap

01

DOL's EBSA Audit ready ERISA wrap document prepared and delivered to your client based on just a questionnaire and hand holding from ETC Account Managers.

Allow Pre-Tax Deductions from your employees pay check by getting the required IRS documents in place in preparation for audit, and DOL or Employee request.

02

Section 125 (POP)

2 Potential IRS Tests: Pre-Taxed Deductions (Section 125 & Partial/Level & Self Insured (Section 105(h)) Ensure your highly compensated and key employees are not tipping your scales.

Non Discrimination Testing

03

Delivery of a Required Benefit Notices Package in word format with guidance and notes for Brokers to insert into enrollment materials and communications as required.

04

Annual Required Benefits Notices Package

5500 Reporting Services

05

For health plans that have **over 100 participants** at the beginning of the plan year, ERISA requires financial reporting to the DOL on Form 5500 and a Summary Annual Report (SAR) to be distributed to participants.



BENEFITS-CENTRIC COMPLIANCE BUNDLE

Bundle, save & take the guesswork out of your key benefit-centric compliance obligations.

For \$145/mo. ETC will proactively facilitate and assist you with Compliance Services #1-4

ERISA Wrap

01

Retail \$ 675

Broker Discounted Price \$540

Retail \$ 250
Broker Discounted Price: \$200

02

Section 125 (POP)

Non Discrimination Testing

03

Section 125 Testing - Fully Insured Plans **Price \$500**
Section 105h Testing - Level & Self Funded **Price \$500**

Available Exclusively through the Broker Partner Platform

04

Annual Required Benefits Notices Package

5500 Reporting Services

05

For health plans that have **over 100 participants.** If needed, **Price \$500**

Questions? Read to Sign Up? Contact our team hr_help@etctracking.com



The ETC Companies | San Antonio, TX | 210-323-7846
theetccompanies.com

Client Direct Group Health Compliance Support Option

Hands-on, client direct support for navigating group health benefits reporting and plan fiduciary responsibilities **for Broker Partners of ETC Broker Services.**

Supporting clients with understanding and complying with the most recent Group Health Plan Sponsor obligations.

ETC Companies supports Brokers with client direct support and compliance services as an added value to their quality and caring consulting services.

CAA / PCORI - **NEW**

Consolidated Appropriations Act of 2021 (Transparency Act) group health plan requirements.

- ✓ Employer Client CAA & LEVEL FUNDED Training - LIVE Webinar **\$250**
- ✓ PCORI Fee Support **\$250**
- ✓ Gag Clause Attestation Support **\$200**
- ✓ Prescription Data Collection (RxDC) Reporting (P2 & D1 only) **\$500**

BUNDLE DEAL (Annual Fee):

Self/Level Funded = \$1100
Fully Insured = \$800

ERISA SERVICES

Required documents generation, delivery instructions, ERISA guidance and support.

- ✓ ERISA Wrap Plan Doc & SPD **\$540**
- ✓ Section 125 (POP) **\$200**
- ✓ Non-Discrimination Testing **125 & 105(h) cost \$1000**
- ✓ 5500 Reporting Services (100+) **\$500**

BUNDLE DEAL with ETC Support

Under 100 Participants:
\$1740 Annual or \$145 per mo
Over 100? Add \$500 For 5500
Filing billed separately

ACA REPORTING

Applicable Large Employers with 50+ FTEs and small level or self funded plans.

- ✓ 1095 B or C Forms preparation & delivery
- ✓ IRS e-File Services
- ✓ ACA compliance ongoing support & guidance

Contact ETC Companies for pricing & proposal

(ranges from \$750 - \$3500 annually depending on level of service, size and funding type)

Prices reflect Broker Partner Clients discounts.
Bundling deals come with direct ETC managed services, communications & reminders.



brokerpartner@etctracking.com



San Antonio | Texas



www.theETCcompanies.com



210-323-7846